

ACCOUNT INFORMATION FORM

DATE _____

This Account Information Form supersedes all prior Account Information Forms. To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify, and record information that identifies each person or entity that opens an account with CU Investment Solutions LLC. What this means for you: When you open an account, we will ask for your name, address, date of birth, telephone number, and other information that will allow us to identify you. We may also ask to see your driver's license or other identifying documents. For new members other than individuals, we will ask you to provide certain corporate entity documents.

Please type or print

Customer Name	Tax ID #	Manager/President	
Telephone No.	Facsimile No.		
Mailing Address	City	State	Zip Code
Legal Address (if different)	City	State	Zip Code
Routing & Transit Number (if applicable)	List Corporate Membership(s)	State Charter	Federal Charter
Settlement, Delivery Instructions Do you safekeep with your Corporate? Yes No	If NO: Please provide written instructions Safekeeping Agent: _____ ABA #: _____	DTC #: _____	Special Instructions: _____

Authorized individual (to execute security order)

Name	Title	Name	Title
Prospectus Email Notification (ISI will email prospectus to this email address)			

I, hereby certify the above named individuals have been duly authorized on behalf of _____ Credit Union to execute security orders. These individuals are authorized to give written or oral instructions with respect to any security order.

By: _____
Printed Name & Title

Percentage of total investments	<input type="checkbox"/> Corporate CU Deposits <input type="checkbox"/> U.S./Treasuries <input type="checkbox"/> Federal Agencies <input type="checkbox"/> Mortgage-Backed Securities	<input type="checkbox"/> Collateralized Mortgage Obligations/REMICs <input type="checkbox"/> Negotiable CDs <input type="checkbox"/> Mutual Funds <input type="checkbox"/> Bankers Acceptances	<input type="checkbox"/> Asset-Backed Securities <input type="checkbox"/> U.S. Corporation Corporate Bonds <input type="checkbox"/> Municipal Bonds <input type="checkbox"/> Other
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How frequently do you purchase and sell securities?
 Daily Weekly Monthly Quarterly Annually Other

What is the estimated average size of each transaction?
 Under \$1,000,000 \$1 million - \$5 million \$5 million - \$20 million Over \$25 million

Investments with maturities/average lives less than 12 months \$ _____

Investments with maturities/average lives 1-3 years \$ _____

Investments with maturities/average lives more than 3 years \$ _____

Total Assets \$ _____ Total Net Capital \$ _____

Size of Investment Portfolio \$ _____ Capital/Assets Ratio: _____ %

Registered Representative	Principal	Received
Account Number	Accepted / OFAC Screened	Date Entered